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04-11-01

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PTO/SB/05 (1/98)
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Approved for use through 09/30/00. OM 0651-0032

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

M-5593-2C US

First Named Inventor or
Application Identifier

Jonathan D. Reid, et al.

Title

Electroplating Process Chamber And Method With Pre-
Wetting And Rinsing Capability

Express Mail Label No.

EL 710 206 326 US

JC985 U.S. PTO

04/09/01

1017 U.S. PTO

09/829848

04/09/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form - *see page 2 of this form.*
(Submit an original, and a duplicate for fee processing)

2. Application:

☒ Specification: (preferred arrangement set forth below)
Descriptive title of the Invention,
Cross References to Related Applications,
Reference to Microfiche Appendix,
Background of the Invention,
Brief Summary of the Invention,
Brief Description of the Drawings, and
Detailed Description (all totaling 10 pages)
Appendix(es) _____ & _____ (pages)

☒ Claim(s) 4 pages

☒ Abstract of the Disclosure 1 page

3. ☒ Drawing(s) (35 USC 113) [Total Sheets 1]

4. Oath or Declaration ☐ unsigned [Total Pages 3]

a. ☐ Newly executed (original or copy)

b. ☒ Copy from prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 17 completed)

c. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☒ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from
which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the
disclosure of the accompanying application and is
hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program Appendix
consisting of _____ pages of microfiche containing _____
frames on each page in accompanying envelope.

7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents) _____ pages

9. ☐ 37 CFR §3.73(b) Statement ☒ Power of Attorney
(combined when there is an _____ with Patent Declaration
Assignee) above.)

10. ☐ English Translation Document (if applicable)

11. ☒ Information Disclosure
Statement (IDS) & ☐ PTO-1449 ☒ 17 Copies of IDS
Citations/References

12. ☒ Preliminary Amendment 4 pages

13. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)

14. Small Entity Status

☐ Small Entity Statement Enclosed _____ pages

☐ Statement filed in prior application; and
status still proper and desired

☐ Is no longer claimed.

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☒ Other:

☒ Request and Certification Under 35 USC 122(b)(2)(B)(I)

☒ Submission of Formal Drawings (1 page)

☒ 1 sheet formal drawing (Fig. 1).

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:
☒ Continuation ☐ Divisional of prior application No. 09/374,253

Filed on August 13, 1999, entitled: Electroplating Process Chamber And Method With Pre-Wetting And Rinsing
Capability.

PRIOR APPLICATION INFORMATION: Examiner E. Smith-Hicks Group Art Unit 1741

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

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Reg. No. 25,557

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PTO/SB/29 (1/98)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
8	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	0	x	\$18	=	\$710.00
2	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	0	x	\$80	=	\$ 0.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))				+	\$260.00	=	
						BASIC FEE (37 CFR 1.16(a))	=	\$ 710.00
						Total of above Calculations	=	\$ 710.00
						Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).	=	
						TOTAL	=	\$ 710.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **19-2386**:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
- b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
- c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: _____

NOTE:

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

22. NEW CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label						<input type="checkbox"/> New correspondence address below
NAME						
ADDRESS						
CITY			STATE			ZIP CODE
COUNTRY			TELEPHONE			FAX

23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Skjerven Morrill MacPherson LLP 25 Metro Drive, Suite 700 San Jose, CA 95110 Tel. (408) 453-9200 Fax. (408) 453-7979		
Date:	April 9, 2001	
Name	David E. Steuber	Reg. No. 25,557
Signature		
Express Mail Label No.	EL 710 206 326 US	

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

Inventors

Reid, Jonathan D.; Taatjes, Steven W.;
Contolini, Robert J.; Patton, Evan E.

Title

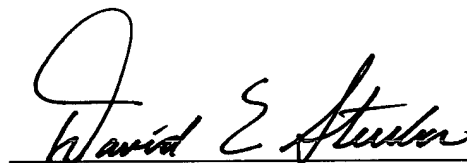
Electroplating Process Chamber And Method
With Pre-Wetting And Rinsing Capability

Atty Docket Number

M-5593-2C US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Date



David E. Steuber
Attorney for Applicants
Reg. No.: 25,557

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SADH
3
9-26-01

Applicant(s): Contolini, Robert J.; Patton, Evan E.; Reid, Jonathan D.; Taatjes, Steven W.
Assignee: Novellus Systems, Inc.
Title: Electroplating Process Chamber And Method With Pre-Wetting And Rinsing Capability
Serial No.: ~~Unknown~~ 09/829848 Filing Date: ~~Herewith~~ 4-9-01
Examiner: ~~Unknown~~ HICKS Group Art Unit: ~~Unknown~~ 1741
Docket No.: M-5593-2C US

San Jose, California
April 9, 2001

Box Patent Application
Attn: Official Draftsperson
COMMISSIONER FOR PATENTS
Washington, D. C. 20231

SUBMISSION OF FORMAL DRAWINGS

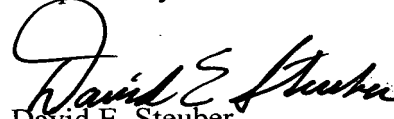
Dear Sir:

Applicants submit one (1) sheet of formal drawing, consisting of Figure 1, in the above-named application. If there are any questions regarding these drawings, please call the undersigned at (408) 453-9200.

EXPRESS MAIL LABEL NO:

EL 710 206 326 US

Respectfully submitted,


David E. Steuber
Attorney for Applicant(s)
Reg. No. 25,557

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